

FORM PTO-10390 (REV. 9-2001)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 7991-000018	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/009556	
INTERNATIONAL APPLICATION NO. PCT/JP00/01740		INTERNATIONAL FILING DATE 22 March 2000 (22/03/00)		PRIORITY DATE CLAIMED 22 March 2000 (22/03/00)	
TITLE OF INVENTION Case					
APPLICANT(S) FOR DO/EO/US KATASE, Horishi, MIYAJIMA, Shingo and ITO, Katsutoshi					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input checked="" type="checkbox"/> is attached hereto. 8 pages b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English lanugage translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).					
Items 11 to 20 below concern document(s) or information included:					
11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A FIRST preliminary amendment. 14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input checked="" type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: Application Data Sheet (2 pgs), 3 Sheets of Formal Drawings (Figs. 1-5), copy of first sheetsof publication of WO/01/70069 with English language translation, copy of PTO Form 1449 with copies of references cited thereon (3 JP) and Information Disclosure Statement, English language translation of International Search Report, acknowl. p.c.					

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

10/009556

INTERNATIONAL APPLICATION NO.

PCT/JP00/01740

ATTORNEY'S DOCKET NUMBER

7991-000018

21. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO. **\$1040.00**

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO **\$890.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO **\$740.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) **\$710.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) **\$100.00**
ENTER APPROPRIATE BASIC FEE AMOUNT =
CALCULATIONS PTO USE ONLY

\$ 890.00

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	4 - 20 =	0	x \$18.00	\$ 0.00	
Independent claims	1 - 3 =	0	x \$84.00	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	\$ 0.00	

TOTAL OF ABOVE CALCULATIONS =

\$ 890.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above
are reduced by 1/2.

+

SUBTOTAL =

\$ 890.00

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ 0.00

TOTAL NATIONAL FEE =

\$ 890.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property +

\$ 0.00

TOTAL FEES ENCLOSED =

\$ 890.00

Amount to be
refunded:

\$

charged:

\$

a. ☒ A check in the amount of \$ 890.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card
information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

JOSEPH R. PAPP

Harness, Dickey & Pierce, P.L.C.

P.O. Box 828

Bloomfield Hills, Michigan 48303

U.S.A.

SIGNATURE

Joseph R. Papp

NAME

20115

REGISTRATION NUMBER

Dated: November 13 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail No.: EL741088108US

Date of Deposit: November¹³, 2001

Application No.:

Filing Date:

Applicant: Hiroshi Katase et al

Group Art Unit:

Examiner:

Title: CASE

Attorney Docket: 7991-000018

Box PCT
Assistant Commissioner of Patents and Trademarks
Washington, D.C. 20231

EXPRESS MAIL TRANSMITTAL

The following papers are being deposited with the United States Postal Service Express Mail Post Office To Addressee and addressed for receipt by the United States Patent and Trademark Office:

1. Transmittal letter to the U.S. Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371;
2. English language copy of International Application as filed;
3. Information Disclosure Statement; Form 1449 plus 3 Japanese references;
4. 3 sheets formal drawings (Figs. 1-5),
5. Eng. translation of first sheets of publication of WO/01/70069;
6. English language translation of International Search Report,
7. Check for \$890.00 and Express Mailing Certificate.
8. Acknowledgement postcard.

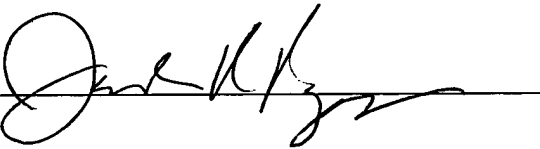
HARNESS, DICKEY & PIERCE, P.L.C.
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Bloomfield Hills, Michigan 48303
(248) 641-1600


Signature

The patents listed and transmitted herewith were cited in the parent PCT application No. PCT/JP00/01740, filed 22 March 2000, and a copy of the International Search report is enclosed herewith.

Please consider this as authorization to charge our Deposit Account No. 08-0750 for any fee which may be due. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

By: 

Joseph R. Papp, Reg. No. 20115
HARNESS, DICKEY & PIERCE, P.L.C.
Attorneys for Applicants

Dated: November 13, 2001

P.O. Box 828
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248-641-1600